

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Timothy Chen et al.

Serial No.: 10/729,758

Filing Date: December 5, 2003

Title: UNIVERSAL PLATFORM FOR PHASE DIMMING DISCHARGE  
LIGHTING BALLAST AND LAMP

Docket No.: 36287

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with Rule 56, applicants are aware of the publications listed in the enclosed copy of Patent Office Form 1449. Since the Office has waived the requirement under 37 C.F.R §1.98(a)(2)(i) for submitting copies of cited U.S. patents and U.S. patent application publications, a copy of each listed patent is not being submitted herewith.

Respectfully submitted,  
PEARNE & GORDON LLP

By: 

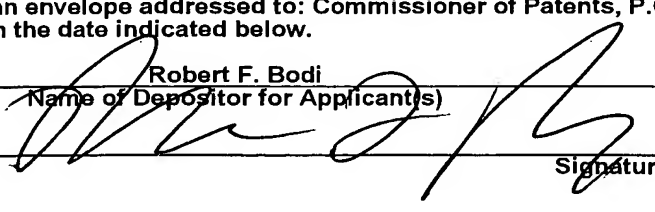
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I hereby certify that the attached correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

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February 19, 2004  
Date

  
Signature of Depositor

Form PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
36287SERIAL NO.  
10/729,758

INFORMATION DISCLOSURE CITATION  
BY APPLICANT  
(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT:  
Timothy Chen et al.FILING DATE:  
December 5, 2003GROUP ART UNIT:  
not yet assigned

## U.S. PATENT DOCUMENTS

| Examiner Initial |   | Document No. | Date     | Name           | Class | Subclass | Filing Date If Appropriate |
|------------------|---|--------------|----------|----------------|-------|----------|----------------------------|
|                  | A | 6,339,298    | 01/15/02 | Chen           |       |          |                            |
|                  | B | 6,348,767    | 02/19/02 | Chen et al.    |       |          |                            |
|                  | C | 6,417,631    | 07/09/02 | Chen et al.    |       |          |                            |
|                  | D | 5,689,442    | 11/18/97 | Swanson et al. |       |          |                            |
|                  | E |              |          |                |       |          |                            |
|                  | F |              |          |                |       |          |                            |
|                  | G |              |          |                |       |          |                            |
|                  | H |              |          |                |       |          |                            |
|                  | I |              |          |                |       |          |                            |
|                  | J |              |          |                |       |          |                            |
|                  | K |              |          |                |       |          |                            |
|                  | L |              |          |                |       |          |                            |
|                  | M |              |          |                |       |          |                            |
|                  | N |              |          |                |       |          |                            |

## FOREIGN PATENT DOCUMENTS

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OTHER REFERENCES *(Including Author, Title, Date, Pertinent Pages, Etc.)*

Examiner:

Date Considered

\*Examiner: Initial if reference considered, regardless of whether citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.